Attorney Docket No. 2001.45

PATENT

IN THE UNITED STATES PATERT AND TRADEMARK OFFICE

In re Application of:

Jaime Navarrete

Art Unit: 1746

Serial No. 10/664,147

Examiner:

Filed: September 17, 2003

For: THE USE OF GRASS LIGNINS IN THERMOPLASTICS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Rule 56 and Rule 97 et seq., Applicant hereby submits Forms PTO/SB/08a and PTO/SB/08b and the references listed thereon.

The relevancy of each reference is disclosed in the specification.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on December 17, 2003.

Janice B. Davis

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,

Robert H. Hammer III Attorney for Applicant Reg. No. 31,764

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PADEMARK				Complete if Known			
				Application Number	10/664.147		
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	STATEMENT BY AF			First Named Inventor	Jaime Navarrete		
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Sheet		of	1	Attorney Docket Number	2001.45		

		-		DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,351,495	11/07/1967	Larsen, et al	<u></u>
		US- 4,778,601	10/18/1988	Lopatin, et al	
		<sup>US-</sup> 4,861,644	08/29/1989	Young, et al	
		<sup>US-</sup> 5,221,587	06/22/1993	Bohnstedt, et al	
		US- 5,759,716	06/02/1998	Clough	
		<sup>US-</sup> 6,485,867	11/26/2002	Navarrete, et al	
		US-			
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		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>		atent Document  e <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
		EP	0910130	<b>A</b> 1	04/21/1999	Japan Storage Battery Co.		X	
		JP	11-191405		07/13/1999	Yuasa Corp.		X	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. S Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/664,147				
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Art Unit	1746				
Examiner Name					
Attorney Docket Number	2001.45				

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, and/or country where published.				
		H.L. STEIN, "Ultrahigh Molecular Weight Polyethylenes (UHMWPE)," Engineered Materials Handbook, ASM International (Metals Park, OH), p. 167-171, (1988).	Х		
		J.H. LORA, "Characteristics, Industrial Sources, and Utilization of Lignins From Non-Wood Plants," Chemical Modification, Properties, and Usage of Lignin, Plenum Publishers, p. 267-282, (2002).	X		
		J.H. LORA, ET AL, "Recent Industrial Applications of Lignin: A Sustainable Alternative to Nonrenewable Materials," Journal of Polymers and the Environment, Plenum Publishing, Vol. 10 (No. 1/2), p. 39-48, (April 2002).	Х		
		I.I. RUBIN, EDITOR, "Polyethylene, Ultra-High Molecular Weight (UHMW PE)," Handbook of Plastic Materials and Technology, John Wiley & Sons, p. 349-354, (1990).	X		
		J.O. BESENHARD, EDITOR, Handbook of Battery Materials, Wiley-VCH (Weinheim, Germany), p. 258-263, (1999).	X		

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Examiner		Date	i
Signature		Considered	i
L Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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